

DATE: February 27, 2019

CIRCULARS

RBI

- Auction for Sale (Re-issue) of Government Stock (GS)
- Auction of Government of India Dated Securities
- Interest Subvention Scheme for MSMEs

NSE

- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)
- Adjustment of Futures and Options contracts in the security Wipro Limited (WIPRO)
- Connectivity - POP network changes - Final extension of deadline
- Change in the Constituents of Indices
- Mandatory fields in Unique Client Code (UCC) information provided to Exchange
- Change in Underlying Name and Symbol
- SAT order in the matter of MaxBe Green Provision Ltd. in respect of Pritha Bag
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Collection of Option Value for In/Out of the money options in Cash
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- Applicability of Short Term Additional Surveillance Measure (ST-ASM)

- Revision in member wise bank wise limits and member wise limits prescribed for acceptance of Bank Guarantee towards collaterals
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BSE

- Revision in settlement mechanism in Equity Derivatives segment
- Graded Surveillance Measure (GSM) – List of securities moving in to their respective GSM Stages
- Testing of software used in or related to Trading and Risk Management
- Change in tick size and strike price interval in Equity Derivatives.
- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger – “Upload of Holding Statement”
- Enhanced supervision: Monitoring of Clients’ Funds lying with the Stock Broker for the week ended February 22, 2019.
- Commencement of business by Trading Member – Northeast Broking Services Ltd. (Clg. No. 933) in Currency Derivatives segment.
- SAT order in respect of Pritha Bag in the matter of MaxBe Green Provision Ltd. (MGPL)
- Revision in Trading Hours of Commodity Segment

ICEX

- No New Circular

MCX

- SEBI Order against Geofin Comtrade Limited (formerly known as “Geojit Comtrade Limited”)
- SEBI Order against Anand Rathi Commodities Limited
- SEBI Order against Motilal Oswal Commodities Broker Private Limited
- Waiver off Storage Charges for Base Metals for deposit and delivery at the MCXCCL accredited warehouses (MAW)
- Reminder for Compliance with Cash Deposit provided by Clearing Member for each affiliated Trading Member

SEBI

- Adjudication Order in respect of Man Finance Private Limited in the matter of Man Industries (India) Ltd.
- Adjudication Order in respect of Kishan Gopal Mohta in the matter of Dealing in Illiquid options on the BSE.
- Adjudication order in respect of Shri Sanjay Kumar Mahipal in the matter of Trading in Illiquid Stock Options on BSE
- Order in the matter of Polytex India Ltd
- Order in the matter of J K S Projects Limited
- Adjudication order in respect of Abdul Zameer Hakim Khan, Amir Hamza Hakim Khan and Rehana Khan in the matter of Finalysis Credit and Guarantee Company Limited
- Adjudication Order in respect of Vijay Growth Financial Services Ltd. (presently Supra Trends Ltd.) in the matter of Moschip Semiconductors Ltd.
- Order in respect of Geofin Comtrade Limited (Formerly known as “Geojit Comtrade Limited”)
- Order in respect of Anand Rathi Commodities Limited
- Adjudication Order in respect of three entities in the matter of Sure Analysis Gain Services

- [Adjudication order in respect of M/s Rajlaxmi Plaza Pvt Ltd in the matter of Illiquid Stock Options at BSE](#)
- [Adjudication order in respect of Rajesh Rao in the matter of Illiquid Stock Options at BSE](#)
- [Adjudication Order in respect of Wonderland Paper Suppliers Private Limited in the matter of dealings in illiquid stocks options at BSE](#)
- [Order in the matter of Karmbhoomi Real Estate Limited](#)
- [Order in respect of Motilal Oswal Commodities Broker Private Limited](#)
- [Order in respect of India Infoline Commodities Limited \(now known as “IIFL Commodities Limited”\)](#)
- [Adjudication Order in respect of ASE Capital Markets Ltd in the matter of SMS Techsoft I Ltd](#)
- [Adjudication Order in respect of Mr. Sajjad Abdul Quadir in the matter of Finalysis Credit & Guarantee Company Ltd.](#)

NSDL

- No New Circulars

NCDEX

- [Order in respect of M/s. Geofin Comtrade Limited \(formerly known as M/s. Geojit Comtrade Limited\)](#)
- [Format for annual secretarial audit report and annual secretarial compliance report for listed entities and their material subsidiaries](#)
- [Settlement Calendar and timelines for submission of delivery intentions for all the contracts expiring in March 2019](#)
- [Availability of new version of STWT trading software from M/s. Saral Information Technologies Pvt. Ltd](#)

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